

A photograph of a construction site. In the foreground, two men are working with a hose that is spraying water onto a concrete surface. One man is wearing a pink cap and dark clothing, while the other is wearing a blue cap and a plaid shirt. In the background, a woman in a checkered shirt and dark pants is standing and looking towards the camera. The site is filled with construction materials, including rebar, concrete blocks, and a wheelbarrow.

# Unauthorized Development and Natural Hazard Vulnerability:

A Study of Squatters and Engineers in Istanbul, Turkey

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# Introduction

## Urban Growth in Rapidly Industrializing Regions

	Seismic Hazard	Annual Growth Rate (%)	Unauthorized Housing (%)	Squatter Housing/ Non-Permanent Structures (%)
Nairobi, Kenya	Low	5	75	33
New Delhi, India	Moderate	0.5	48	17
Cairo, Egypt	Moderate	2.1	65	6
Jakarta, Indonesia	High	4.1	70	33
Bogotá, Columbia	High	3.5	8	3
Istanbul, Turkey	High	5	51-70 <sup>1</sup>	5

<sup>1</sup> Internal estimates place illegal/unauthorized housing at 70 percent in 2000.  
 Source: Angel, S. (2000). *Housing Policy Matters: A Global Analysis*, Oxford University Press, Oxford.



Nairobi, Kenya



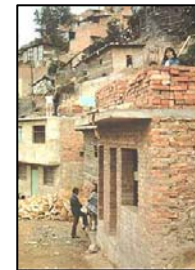
New Delhi, India



Cairo, Egypt



Jakarta, Indonesia



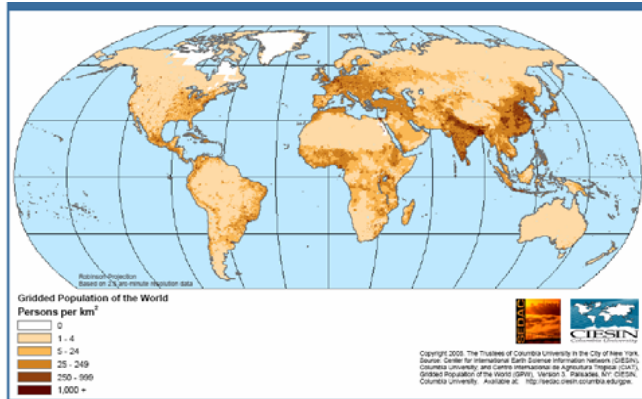
Bogotá, Columbia



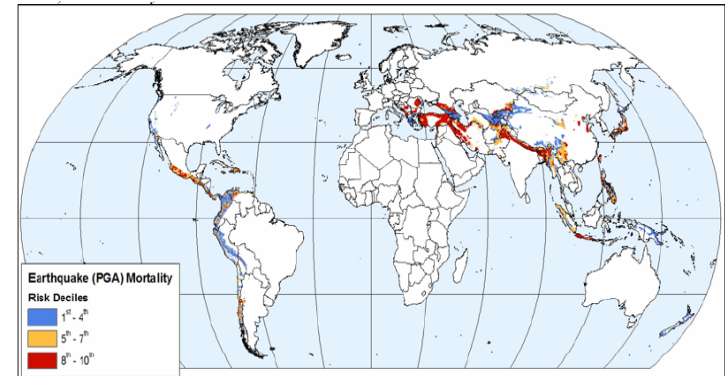
Istanbul, Turkey

## Urban Growth and Seismicity in Rapidly Industrializing Regions

Global distribution of world population, 2004



Global distribution of earthquake risk, mortality



Loss of Life from Geophysical Hazards by Quintile of GDP (PPP) per capita, 1980-2005

GDP (PPP) per capita, 2004	No. Countries	Loss of Life	Countries with Highest Loss of Life per Quintile
1 <sup>st</sup> quintile	15	14,193	Japan, Italy, Taiwan, U.S., Greece
2 <sup>nd</sup> quintile	23	27,806	Mexico, Russia, Chile, Malaysia, Puerto Rico
3 <sup>rd</sup> quintile	20	145,471	<b>Iran, Columbia, Turkey, Philippines, Algeria</b>
4 <sup>th</sup> quintile	27	153,960	<b>Pakistan, India, Armenia, Indonesia, Ecuador</b>
5 <sup>th</sup> quintile	21	14,625	Afganistan, Nepal, Yemen, Tajikistan, Kyrgyzstan
<b>Total</b>	<b>106</b>	<b>356,055</b>	<b>Bold countries indicate top five countries globally</b>

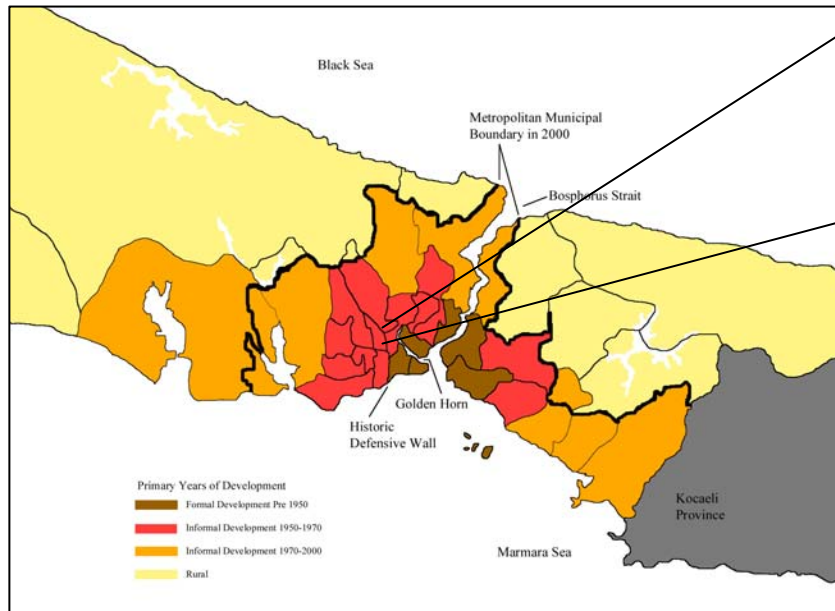
\*\*If 2004 Tsunami included, figure rises to by 220,092; Indonesia and Sri Lanka added to 4th quintile.

# Introduction

## Urban Growth in Istanbul

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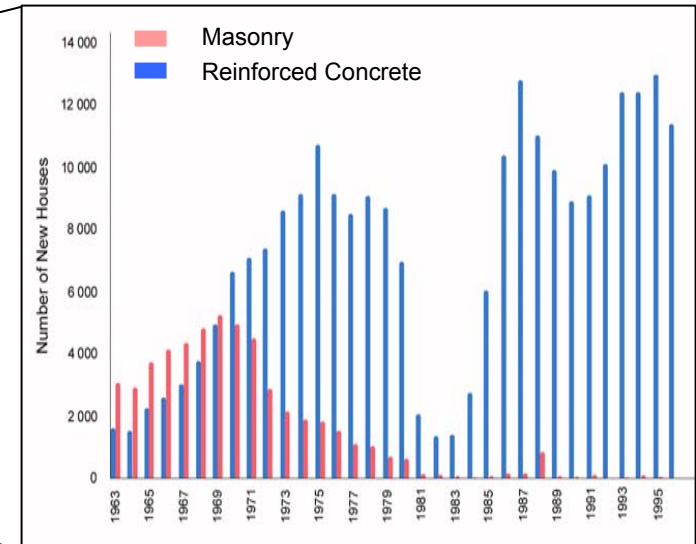
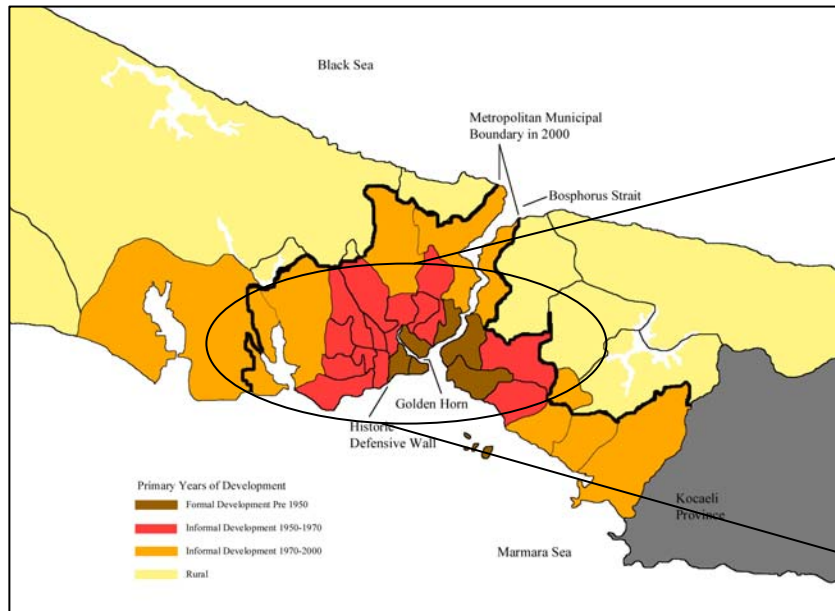


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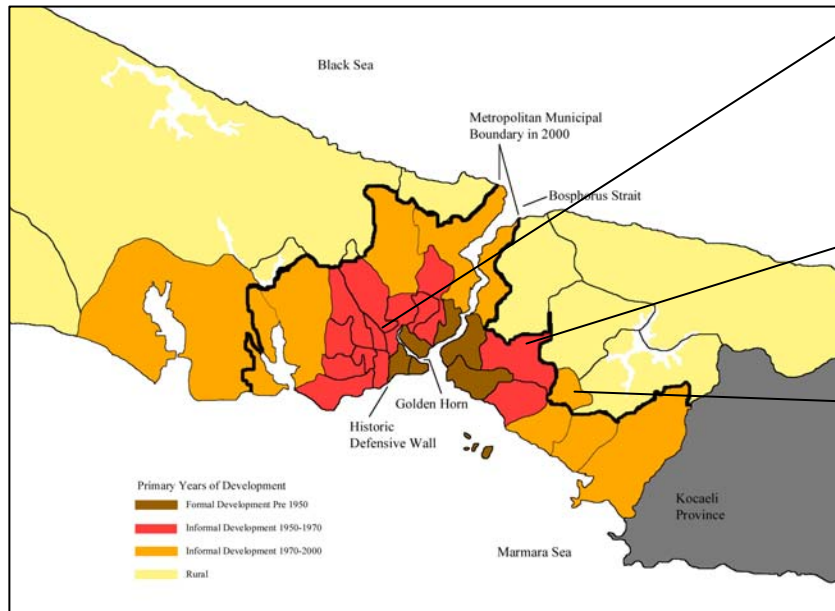


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# Methodology

## Site Selection

- First Order Assessment of Housing and Risk Perception in Four Municipalities

- Risk perception of homes



- Strategies used to reduce vulnerability to seismic hazard



- Effects on physical vulnerability of housing

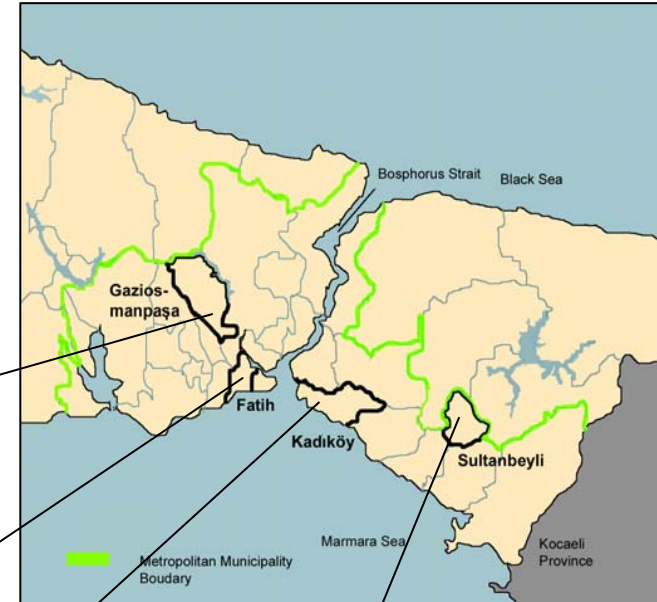
- Four municipalities

- Resident interviews (48)

- Engineer interviews (10)

- Structural Assessments (~35)

Istanbul Municipal and Provincial Boundaries, 2000



Gaziosmanpaşa



Fatih



Kadıköy



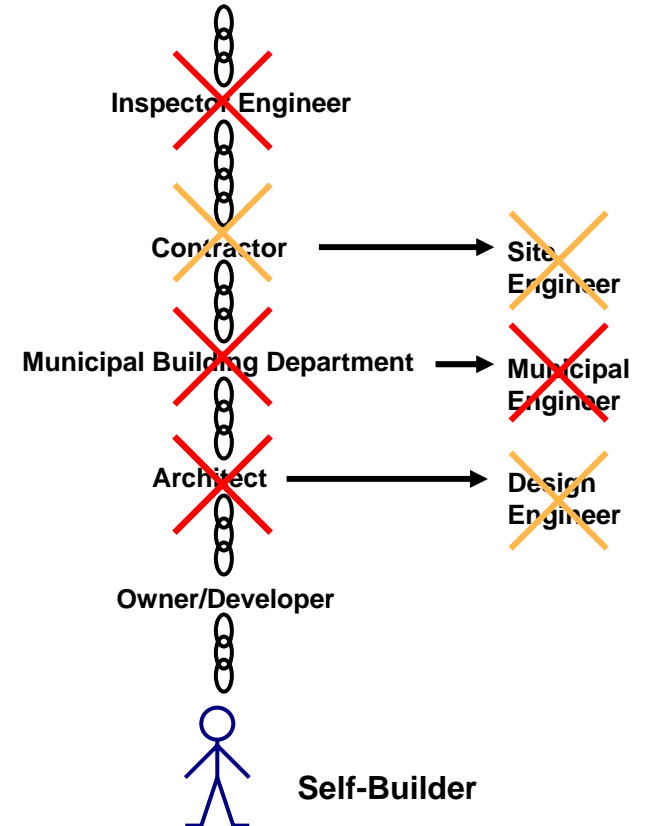
Sultanbeyli

# Risk Perception

## Distrust in Building Process

### Decreasing Cost through Self Building

- Unauthorized Self-Building Considered a Means of Reducing Construction Costs
  - Reduced professional contractor profit
  - Reduce costs associated with building code compliance
  - Reduce or eliminate design fees
  - Eliminate permitting fees and requirements



- Residents in unauthorized housing districts most trustful in areas of higher expected seismic hazard
- Risk perception linked to degree of self-building

		Owner-Occupied, Self Built	<i>Expected Seismic Intensity</i>	<i>Average Estimated Structural Damage<sup>1</sup></i>	<i>Trust in Own Structures (Likert Scale, Averaged)</i>
<i>Predominantly Unauthorized Housing</i>	<b>Gaziosmanpaşa</b>	55%	VII-VIII	Slight	Ambivalent
	<b>Sultanbeyli</b>	80%	VIII-IX	Moderate	Trustful

1. Erdik, M., Aydınoglu, N., Barka, A., Yüzügüllü, Ö., Siyahi, B., Durukal, E., Fahjan, Y., Akman, H., Birgören, G., Alpay-Biro, Y., Demircioğlu, M., Özbey, C., Şeşetyan, K., and Ansal, A. (2003). "Earthquake Risk Assessment for the Istanbul Metropolitan Area - Final Report." Bogaziçi University Press, Istanbul.

*"An illegal building is better."  
-Gaziosmanpaşa resident*

*"What [my family] did is really strong, because we were going to live here ourselves."  
-Sultanbeyli resident*

# Risk Perceptions

## Distrust in the Building Process

- Widespread distrust of individuals responsible for ensuring seismic resistant construction in authorized housing system
  - The knowledge of those involved
  - The intent of those involved

Istanbul Residents' Statements Regarding Contractors and Engineers

	Statements		Residents Interviewed		Primary Concerns
	Total	Percent Negative	Total	No. Negative View	
Regarding Contractors	58	66	26	23	thievery, ignorance
Regarding Engineers	53	40	20	4	profiteering, professionalism, competence

*"If I had been a contractor, I too would have stole a bit from the cement, from the sand, from the steel. [Their work is] a bunch of thievery.  
-Fatih resident*

# Vulnerability Reduction Strategies

## Self Building as Means of Increasing Seismic Resistance

- Unauthorized Construction Decreased Residents' Perception of Vulnerability



*“When engineers are no longer part of the process, the building becomes a bit stronger.”*

*-Sultanbeyli resident*

# Vulnerability Reduction Strategies

## Affects of Self Building on Housing Quality

- Unauthorized Construction Increased Physical Vulnerability of Structures

- Structural specifications inadequate
- Poor detailing, insufficient steel ratios
- Watering down concrete
- Improper curing
- Incremental construction



# Conclusions

## Reducing Vulnerability in Unauthorized Housing Districts

- Vulnerability Reduction must be Culturally and Contextually Specific

- Addressing Distrust

- Professionalization

- Promoting non-antagonistic interactions

- Ombudsman offices

- Partnership between Professionals and Locals in Community Vulnerability Assessment

- Strengthening Knowledge with Social Networks in Unauthorized Districts

- Demonstration Projects Showing Low-cost Construction Techniques

- Training in Developing Unauthorized Districts



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